

# CS 383

## Lecture 22 – Mapping reductions

Stephen Checkoway

Fall, 2023

## Review of decidable languages

- Context-free languages (and thus regular)

# Review of decidable languages

- Context-free languages (and thus regular)
- Acceptance problems
  - $A_{\text{DFA}}$
  - $A_{\text{NFA}}$
  - $A_{\text{REG}}$
  - $A_{\text{CFG}}$

# Review of decidable languages

- Context-free languages (and thus regular)
- Acceptance problems
  - $A_{\text{DFA}}$
  - $A_{\text{NFA}}$
  - $A_{\text{REG}}$
  - $A_{\text{CFG}}$
- Emptiness problems
  - $E_{\text{DFA}}$
  - $E_{\text{CFG}}$

# Review of decidable languages

- Context-free languages (and thus regular)
- Acceptance problems
  - $A_{\text{DFA}}$
  - $A_{\text{NFA}}$
  - $A_{\text{REG}}$
  - $A_{\text{CFG}}$
- Emptiness problems
  - $E_{\text{DFA}}$
  - $E_{\text{CFG}}$
- Equivalence problems
  - $EQ_{\text{DFA}}$

## Review of undecidable languages

- The diagonal language  $\text{DIAG} = \{\langle M \rangle \mid M \text{ is a TM and } \langle M \rangle \notin L(M)\}$

## Review of undecidable languages

- The diagonal language  $\text{DIAG} = \{\langle M \rangle \mid M \text{ is a TM and } \langle M \rangle \notin L(M)\}$
- $A_{\text{TM}}$

## Review of undecidable languages

- The diagonal language  $\text{DIAG} = \{\langle M \rangle \mid M \text{ is a TM and } \langle M \rangle \notin L(M)\}$
- $A_{\text{TM}}$
- $\text{HALT}_{\text{TM}}$



## Review of undecidable languages

- The diagonal language  $\text{DIAG} = \{\langle M \rangle \mid M \text{ is a TM and } \langle M \rangle \notin L(M)\}$
- $A_{\text{TM}}$
- $\text{HALT}_{\text{TM}}$
- $E_{\text{TM}}$

## Review of undecidable languages

- The diagonal language  $\text{DIAG} = \{\langle M \rangle \mid M \text{ is a TM and } \langle M \rangle \notin L(M)\}$
- $A_{\text{TM}}$
- $\text{HALT}_{\text{TM}}$
- $E_{\text{TM}}$
- $\text{ALL}_{\text{CFG}}$

## Review of undecidable languages

- The diagonal language  $\text{DIAG} = \{\langle M \rangle \mid M \text{ is a TM and } \langle M \rangle \notin L(M)\}$
- $A_{\text{TM}}$
- $\text{HALT}_{\text{TM}}$
- $E_{\text{TM}}$
- $\text{ALL}_{\text{CFG}}$
- $\text{EQ}_{\text{CFG}}$

## Review of undecidable languages

- The diagonal language  $\text{DIAG} = \{\langle M \rangle \mid M \text{ is a TM and } \langle M \rangle \notin L(M)\}$
- $A_{\text{TM}}$
- $\text{HALT}_{\text{TM}}$
- $E_{\text{TM}}$
- $\text{ALL}_{\text{CFG}}$
- $\text{EQ}_{\text{CFG}}$
- $\text{EQ}_{\text{TM}}$

## Review of undecidable languages

- The diagonal language  $\text{DIAG} = \{\langle M \rangle \mid M \text{ is a TM and } \langle M \rangle \notin L(M)\}$
- $A_{\text{TM}}$
- $\text{HALT}_{\text{TM}}$
- $E_{\text{TM}}$
- $\text{ALL}_{\text{CFG}}$
- $\text{EQ}_{\text{CFG}}$
- $\text{EQ}_{\text{TM}}$
- $\text{REGULAR}_{\text{TM}}$

# Turing recognizable (RE) and co-Turing-recognizable (coRE)

Recall,  $L$  is decidable iff  $L$  is RE and coRE

Language	RE	coRE
$A_{\text{DFA}}$	✓	✓
$E_{\text{DFA}}$	✓	✓
$EQ_{\text{DFA}}$	✓	✓
$A_{\text{CFG}}$	✓	✓
$E_{\text{CFG}}$	✓	✓
$EQ_{\text{CFG}}$	✗	✓
DIAG	?	?
$A_{\text{TM}}$	✓	✗
$\text{HALT}_{\text{TM}}$	?	?
$E_{\text{TM}}$	✗	✓
$EQ_{\text{TM}}$	?	?
$\text{REGULAR}_{\text{TM}}$	?	?

## Reductions

Recall that  $A$  **reduces** to  $B$  (written  $A \leq B$ ) means  
“If  $B$  is decidable, then  $A$  is decidable”

# Reductions

Recall that  $A$  **reduces** to  $B$  (written  $A \leq B$ ) means

“If  $B$  is decidable, then  $A$  is decidable”

We used reductions to

- ① prove that languages are decidable (“good-news reductions”)
- ② prove that languages are not decidable (“bad-news reductions”)



# Reductions

Recall that  $A$  **reduces** to  $B$  (written  $A \leq B$ ) means  
“If  $B$  is decidable, then  $A$  is decidable”

We used reductions to

- ① prove that languages are decidable (“good-news reductions”)
- ② prove that languages are not decidable (“bad-news reductions”)

We were able to determine that some languages aren't RE by showing that they're coRE but not decidable

Similarly, we proved some languages aren't coRE by showing that they're RE but not decidable

# Reductions

Recall that  $A$  **reduces** to  $B$  (written  $A \leq B$ ) means  
“If  $B$  is decidable, then  $A$  is decidable”

We used reductions to

- ① prove that languages are decidable (“good-news reductions”)
- ② prove that languages are not decidable (“bad-news reductions”)

We were able to determine that some languages aren't RE by showing that they're coRE but not decidable

Similarly, we proved some languages aren't coRE by showing that they're RE but not decidable

Reductions alone were not sufficient; we need a *stronger* notion of reduction

## Computable functions

A function  $f : \Sigma^* \rightarrow \Sigma^*$  is a **computable function** if there is some TM  $M$  such that when  $M$  is run on  $w$ ,  $M$  halts with  $f(w)$  on the tape (and nothing else)

This is similar to a decider in that  $M$  cannot loop, but there's no notion of accepting or rejecting a string,  $M$  just computes a function

## Examples of computable functions

- Arithmetic:  $\langle k, m, n \rangle \mapsto \langle k \cdot m - 67n \rangle$  where  $k, m, n \in \mathbb{Z}$   
The corresponding TM performs the arithmetic and then copies the result to the beginning of the tape and clears the rest

## Examples of computable functions

- Arithmetic:  $\langle k, m, n \rangle \mapsto \langle k \cdot m - 67n \rangle$  where  $k, m, n \in \mathbb{Z}$   
The corresponding TM performs the arithmetic and then copies the result to the beginning of the tape and clears the rest
- Converting a grammar to CNF:  $\langle G \rangle \mapsto \langle G' \rangle$  where  $L(G) = L(G')$  and  $G'$  is in CNF  
The corresponding TM performs the conversion to CNF algorithm

## Examples of computable functions

- Arithmetic:  $\langle k, m, n \rangle \mapsto \langle k \cdot m - 67n \rangle$  where  $k, m, n \in \mathbb{Z}$   
The corresponding TM performs the arithmetic and then copies the result to the beginning of the tape and clears the rest
- Converting a grammar to CNF:  $\langle G \rangle \mapsto \langle G' \rangle$  where  $L(G) = L(G')$  and  $G'$  is in CNF  
The corresponding TM performs the conversion to CNF algorithm
- Constructing new TMs:  $\langle M, w \rangle \mapsto \langle M' \rangle$  where  $M'$  is the TM that ignores its input and runs  $M$  on  $w$

## Examples of computable functions

- Arithmetic:  $\langle k, m, n \rangle \mapsto \langle k \cdot m - 67n \rangle$  where  $k, m, n \in \mathbb{Z}$   
The corresponding TM performs the arithmetic and then copies the result to the beginning of the tape and clears the rest
- Converting a grammar to CNF:  $\langle G \rangle \mapsto \langle G' \rangle$  where  $L(G) = L(G')$  and  $G'$  is in CNF  
The corresponding TM performs the conversion to CNF algorithm
- Constructing new TMs:  $\langle M, w \rangle \mapsto \langle M' \rangle$  where  $M'$  is the TM that ignores its input and runs  $M$  on  $w$
- Constructing multiple TMs:  $\langle M \rangle \mapsto \langle M, M' \rangle$  where  $M'$  is a TM such that  $L(M') = \Sigma^*$

## Examples of computable functions

- Arithmetic:  $\langle k, m, n \rangle \mapsto \langle k \cdot m - 67n \rangle$  where  $k, m, n \in \mathbb{Z}$   
The corresponding TM performs the arithmetic and then copies the result to the beginning of the tape and clears the rest
- Converting a grammar to CNF:  $\langle G \rangle \mapsto \langle G' \rangle$  where  $L(G) = L(G')$  and  $G'$  is in CNF  
The corresponding TM performs the conversion to CNF algorithm
- Constructing new TMs:  $\langle M, w \rangle \mapsto \langle M' \rangle$  where  $M'$  is the TM that ignores its input and runs  $M$  on  $w$
- Constructing multiple TMs:  $\langle M \rangle \mapsto \langle M, M' \rangle$  where  $M'$  is a TM such that  $L(M') = \Sigma^*$

Anything that a TM can do without looping, including running deciders, is permissible



## Examples of computable functions

- Arithmetic:  $\langle k, m, n \rangle \mapsto \langle k \cdot m - 67n \rangle$  where  $k, m, n \in \mathbb{Z}$   
The corresponding TM performs the arithmetic and then copies the result to the beginning of the tape and clears the rest
- Converting a grammar to CNF:  $\langle G \rangle \mapsto \langle G' \rangle$  where  $L(G) = L(G')$  and  $G'$  is in CNF  
The corresponding TM performs the conversion to CNF algorithm
- Constructing new TMs:  $\langle M, w \rangle \mapsto \langle M' \rangle$  where  $M'$  is the TM that ignores its input and runs  $M$  on  $w$
- Constructing multiple TMs:  $\langle M \rangle \mapsto \langle M, M' \rangle$  where  $M'$  is a TM such that  $L(M') = \Sigma^*$

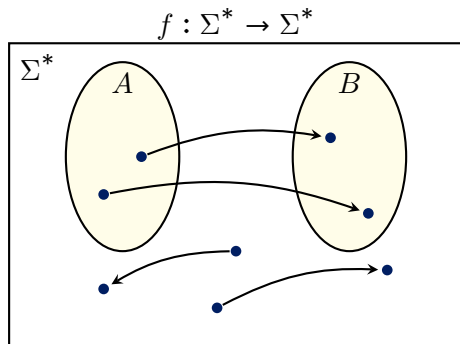
Anything that a TM can do without looping, including running deciders, is permissible

If the form of the input is wrong (e.g., if the TM is expecting  $\langle M, w \rangle$  but gets something else), then it clears the tape and halts (i.e., outputs  $\varepsilon$ )

## Mapping reducibility

Language  $A$  is **mapping reducible** to language  $B$ , written  $A \leq_m B$ , if there exists a computable function  $f : \Sigma^* \rightarrow \Sigma^*$  such that for each  $w \in \Sigma^*$ ,

$$w \in A \iff f(w) \in B$$



$f$  maps elements of  $A$  to elements of  $B$

$f$  maps elements of  $\overline{A}$  to elements of  $\overline{B}$

# Mapping instances of problems to instances of other problems

Consider the problems

- 1 Is the string  $w$  recognized by the PDA  $P$ ?
- 2 Is the string  $x$  generated by the CFG  $G$ ?

We express both of these as languages,  $A_{\text{PDA}}$  and  $A_{\text{CFG}}$ , respectively

An **instance** of the first problem is the (representation of the) pair  $\langle P, w \rangle$  and an instance of the second problem is  $\langle G, x \rangle$

A **mapping reduction**  $A \leq_m B$  takes an instance of problem  $A$  and maps it to an instance of problem  $B$  such that the solution to the latter gives the solution to the former

E.g.,  $\langle P, w \rangle \mapsto \langle G, w \rangle$  where  $L(G) = L(P)$  is a computable mapping and  $\langle P, w \rangle \in A_{\text{PDA}} \iff \langle G, w \rangle \in A_{\text{CFG}}$  so  $A_{\text{PDA}} \leq_m A_{\text{CFG}}$

## Question 1

Is  $A_{CFG} \leq_m A_{PDA}$ ?

## Question 1

Is  $A_{\text{CFG}} \leq_m A_{\text{PDA}}$ ?

Yes. The mapping  $\langle G, w \rangle \mapsto \langle P, w \rangle$  where  $L(P) = L(G)$  is computable because the CFG to PDA conversion is a simple algorithm.

As before,  $\langle G, w \rangle \in A_{\text{CFG}} \iff \langle P, w \rangle \in A_{\text{PDA}}$

## Question 2

Is  $A_{\text{DFA}} \leq_m A_{\text{CFG}}$ ?

## Question 2

Is  $A_{\text{DFA}} \leq_m A_{\text{CFG}}$ ?

Yes. We can convert a DFA to an equivalent CFG; i.e.,  $\langle M, w \rangle \mapsto \langle G, w \rangle$  where  $L(G) = L(M)$  is computable and clearly  $\langle M, w \rangle \in A_{\text{DFA}} \iff \langle G, w \rangle \in A_{\text{CFG}}$

## Question 3

Is  $A_{CFG} \leq_m A_{DFA}$ ?



## Question 3

Is  $A_{\text{CFG}} \leq_m A_{\text{DFA}}$ ?

Perhaps counterintuitively, yes!

Remember,  $A_{\text{CFG}}$  is decidable so we can use the decider  $R$  for it when constructing our mapping

$T =$  "On input  $\langle G, w \rangle$ ,

- 1 Run  $R$  on  $\langle G, w \rangle$
- 2 If  $R$  accepts, let  $M$  be the 1-state DFA such that  $L(M) = \Sigma^*$
- 3 If  $R$  rejects, let  $M$  be the 1-state DFA such that  $L(M) = \emptyset$
- 4 Output  $\langle M, \varepsilon \rangle$ "

This won't loop because  $R$  is a decider.

If  $\langle G, w \rangle \in A_{\text{CFG}}$ , then  $L(M) = \Sigma^*$  so  $\langle M, \varepsilon \rangle \in A_{\text{DFA}}$

If  $\langle G, w \rangle \notin A_{\text{CFG}}$ , then  $L(M) = \emptyset$  so  $\langle M, \varepsilon \rangle \notin A_{\text{DFA}}$

## Mapping reductions are a stronger form of reduction

What we've called a reduction up until now is also called a **Turing reduction**

### Theorem

*If  $A \leq_m B$ , then  $A \leq B$ . In other words, if  $A \leq_m B$  and  $B$  is decidable, then  $A$  is decidable*

How can we prove this?

## Mapping reductions are a stronger form of reduction

What we've called a reduction up until now is also called a **Turing reduction**

### Theorem

*If  $A \leq_m B$ , then  $A \leq B$ . In other words, if  $A \leq_m B$  and  $B$  is decidable, then  $A$  is decidable*

How can we prove this?

### Proof.

Let  $R$  be a decider for  $B$  and let  $f : \Sigma^* \rightarrow \Sigma^*$  be the mapping reduction.

$D =$  "On input  $w$ ,

- 1 Compute  $f(w)$
- 2 Run  $R$  on  $f(w)$  and if  $R$  accepts, then *accept*; otherwise *reject*"

$f$  is computable and  $R$  is a decider so  $D$  is a decider.

If  $w \in A$ , then  $f(w) \in B$  so  $R$  and thus  $D$  will accept

If  $w \notin A$ , then  $f(w) \notin B$  so  $R$  and thus  $D$  will reject



## Using mapping reductions to show languages are undecidable

Just like with Turing reductions, we have a simple corollary:

### Theorem

*If  $A \leq_m B$  and  $A$  is undecidable, then  $B$  is undecidable*

We typically use this fact by giving a TM that computes the mapping reduction

$T =$  “On input  $\langle$ an instance of problem  $A$  $\rangle$ ,

- 1 Construct an instance of problem  $B$
- 2 **Output**  $\langle$ the instance of problem  $B$  $\rangle$ ”

Rather than accept or reject, the TM  $T$  corresponding to the mapping **outputs** the result

Example:  $E_{\text{TM}} \leq_m EQ_{\text{TM}}$

Show that  $E_{\text{TM}} \leq_m EQ_{\text{TM}}$  by giving a TM  $T$  that computes the mapping

How do we do this?

Example:  $E_{\text{TM}} \leq_m EQ_{\text{TM}}$

Show that  $E_{\text{TM}} \leq_m EQ_{\text{TM}}$  by giving a TM  $T$  that computes the mapping

How do we do this?

$T =$  "On input  $\langle M \rangle$ ,

Example:  $E_{\text{TM}} \leq_m EQ_{\text{TM}}$

Show that  $E_{\text{TM}} \leq_m EQ_{\text{TM}}$  by giving a TM  $T$  that computes the mapping  
How do we do this?

$T =$  "On input  $\langle M \rangle$ ,

- 1 Build TM  $M'$  such that  $L(M') = \emptyset$

Example:  $E_{\text{TM}} \leq_m EQ_{\text{TM}}$

Show that  $E_{\text{TM}} \leq_m EQ_{\text{TM}}$  by giving a TM  $T$  that computes the mapping  
How do we do this?

$T =$  “On input  $\langle M \rangle$ ,

- 1 Build TM  $M'$  such that  $L(M') = \emptyset$
- 2 Output  $\langle M, M' \rangle$ ”



Example:  $E_{\text{TM}} \leq_m EQ_{\text{TM}}$

Show that  $E_{\text{TM}} \leq_m EQ_{\text{TM}}$  by giving a TM  $T$  that computes the mapping  
How do we do this?

$T =$  “On input  $\langle M \rangle$ ,

- 1 Build TM  $M'$  such that  $L(M') = \emptyset$
- 2 Output  $\langle M, M' \rangle$ ”

Note that  $\langle M \rangle$  is an instance of  $E_{\text{TM}}$  and  $\langle M, M' \rangle$  is an instance of  $EQ_{\text{TM}}$

## Example: $E_{\text{TM}} \leq_m EQ_{\text{TM}}$

Show that  $E_{\text{TM}} \leq_m EQ_{\text{TM}}$  by giving a TM  $T$  that computes the mapping  
How do we do this?

$T =$  “On input  $\langle M \rangle$ ,

- 1 Build TM  $M'$  such that  $L(M') = \emptyset$
- 2 Output  $\langle M, M' \rangle$ ”

Note that  $\langle M \rangle$  is an instance of  $E_{\text{TM}}$  and  $\langle M, M' \rangle$  is an instance of  $EQ_{\text{TM}}$

We need to show that  $T$  doesn't loop and that  $\langle M \rangle \in E_{\text{TM}}$  iff  $\langle M, M' \rangle \in EQ_{\text{TM}}$

## Example: $E_{\text{TM}} \leq_m EQ_{\text{TM}}$

Show that  $E_{\text{TM}} \leq_m EQ_{\text{TM}}$  by giving a TM  $T$  that computes the mapping  
How do we do this?

$T =$  “On input  $\langle M \rangle$ ,

- 1 Build TM  $M'$  such that  $L(M') = \emptyset$
- 2 Output  $\langle M, M' \rangle$ ”

Note that  $\langle M \rangle$  is an instance of  $E_{\text{TM}}$  and  $\langle M, M' \rangle$  is an instance of  $EQ_{\text{TM}}$

We need to show that  $T$  doesn't loop and that  $\langle M \rangle \in E_{\text{TM}}$  iff  $\langle M, M' \rangle \in EQ_{\text{TM}}$

Neither steps 1 nor 2 loop, so  $T$  doesn't loop

Next, we have a chain of iff

$$\langle M \rangle \in E_{\text{TM}} \iff L(M) = \emptyset \iff L(M) = L(M') \iff \langle M, M' \rangle \in EQ_{\text{TM}}$$

## Example: $A_{\text{TM}} \leq_m \text{HALT}_{\text{TM}}$

This one is more tricky: Given  $\langle M, w \rangle$  (an instance of  $A_{\text{TM}}$ ), we need to construct  $\langle M', w \rangle$  such that  $M$  accepts  $w$  iff  $M'$  halts on  $w$

How can we do this?

## Example: $A_{\text{TM}} \leq_m \text{HALT}_{\text{TM}}$

This one is more tricky: Given  $\langle M, w \rangle$  (an instance of  $A_{\text{TM}}$ ), we need to construct  $\langle M', w \rangle$  such that  $M$  accepts  $w$  iff  $M'$  **halts** on  $w$

How can we do this?

$T =$  “On input  $\langle M, w \rangle$ ,

- 1 Construct a new TM  $M' =$  ‘On input  $x$ ,
  - 1 Run  $M$  on  $x$
  - 2 If  $M$  accepts, then *accept*
  - 3 If  $M$  rejects, then *loop*’
- 2 Output  $\langle M', w \rangle$ ”

## Example: $A_{\text{TM}} \leq_m \text{HALT}_{\text{TM}}$

This one is more tricky: Given  $\langle M, w \rangle$  (an instance of  $A_{\text{TM}}$ ), we need to construct  $\langle M', w \rangle$  such that  $M$  accepts  $w$  iff  $M'$  halts on  $w$

How can we do this?

$T =$  “On input  $\langle M, w \rangle$ ,

- 1 Construct a new TM  $M' =$  ‘On input  $x$ ,
  - 1 Run  $M$  on  $x$
  - 2 If  $M$  accepts, then *accept*
  - 3 If  $M$  rejects, then *loop*’
- 2 Output  $\langle M', w \rangle$ ”

Constructing the TM  $M'$  can't loop so  $T$  can't loop

If  $\langle M, w \rangle \in A_{\text{TM}}$ , then  $M$  accepts  $w$  so  $M'$  accepts and thus halts on  $w$  so  $\langle M', w \rangle \in \text{HALT}_{\text{TM}}$

If  $\langle M, w \rangle \notin A_{\text{TM}}$ , then either  $M$  rejects or loops on  $w$  and in either case,  $M'$  loops on  $w$  [why?] so  $\langle M', w \rangle \notin \text{HALT}_{\text{TM}}$

Example:  $EQ_{CFG} \leq_m EQ_{TM}$

How do we show this?

Example:  $EQ_{CFG} \leq_m EQ_{TM}$

How do we show this?

$T =$  "On input  $\langle G_1, G_2 \rangle$ ,

- 1 Construct TM  $M_1$  s.t.  $L(M_1) = L(G_1)$  (we can use the decider for  $A_{CFG}$  to do this)
- 2 Construct TM  $M_2$  s.t.  $L(M_2) = L(G_2)$
- 3 Output  $\langle M_1, M_2 \rangle$ "

Now what?



## Example: $EQ_{CFG} \leq_m EQ_{TM}$

How do we show this?

$T =$  "On input  $\langle G_1, G_2 \rangle$ ,

- 1 Construct TM  $M_1$  s.t.  $L(M_1) = L(G_1)$  (we can use the decider for  $A_{CFG}$  to do this)
- 2 Construct TM  $M_2$  s.t.  $L(M_2) = L(G_2)$
- 3 Output  $\langle M_1, M_2 \rangle$ "

Now what?

$T$  can't loop because it's just constructing two TMs

Since  $L(G_i) = L(M_i)$ ,  $\langle G_1, G_2 \rangle \in EQ_{CFG} \iff L(G_1) = L(G_2) \iff L(M_1) = L(M_2) \iff \langle M_1, M_2 \rangle \in EQ_{TM}$

## Mapping reductions between RE languages

### Theorem

*If  $A \leq_m B$  and  $B$  is Turing-recognizable, then  $A$  is Turing-recognizable.*

How do we prove this?

## Mapping reductions between RE languages

### Theorem

If  $A \leq_m B$  and  $B$  is Turing-recognizable, then  $A$  is Turing-recognizable.

How do we prove this? Same construction as for the decidable case.

### Proof.

Let  $R$  be a TM such that  $L(R) = B$  and  $f : \Sigma^* \rightarrow \Sigma^*$  be the computable mapping. Build TM  $M$  to recognize  $A$ :

$M =$  "On input  $w$ ,

- 1 Run  $R$  on  $f(w)$ . If  $R$  accepts, then *accept*; if  $R$  rejects, then *reject*"

Now we just need to show that  $L(M) = A$

$$w \in A \iff f(w) \in B \iff R \text{ accepts } f(w) \iff M \text{ accepts } w. \quad \square$$

## Proving that a language is not RE

Theorem

*If  $A \leq_m B$  and  $A$  is not Turing-recognizable, then  $B$  is not Turing-recognizable*

Why?

## Proving that a language is not RE

### Theorem

*If  $A \leq_m B$  and  $A$  is not Turing-recognizable, then  $B$  is not Turing-recognizable*

Why?

Proof.

If  $B$  were RE, then by the previous theorem,  $A$  would be RE.



## Mapping reduction between complements

### Theorem

*If  $A \leq_m B$ , then  $\overline{A} \leq_m \overline{B}$  with the reduction given by the same mapping.*

We just use the fact that if  $f$  is the computable mapping, then  $w \in A \iff f(w) \in B$

## Mapping reduction between complements

### Theorem

*If  $A \leq_m B$ , then  $\overline{A} \leq_m \overline{B}$  with the reduction given by the same mapping.*

We just use the fact that if  $f$  is the computable mapping, then  $w \in A \iff f(w) \in B$

### Proof.

Let  $f$  be the mapping reduction from  $A$  to  $B$ . Then

$$w \in \overline{A} \iff w \notin A \iff f(w) \notin B \iff f(w) \in \overline{B}. \quad \square$$

# coRE

## Theorem

*If  $A \leq_m B$  and  $B$  is co-Turing-recognizable, then  $A$  is co-Turing-recognizable.*

Why?



## coRE

### Theorem

*If  $A \leq_m B$  and  $B$  is co-Turing-recognizable, then  $A$  is co-Turing-recognizable.*

Why?

Proof.

By the previous theorem,  $\overline{A} \leq_m \overline{B}$ .

Since  $B$  is coRE,  $\overline{B}$  is RE and thus  $\overline{A}$  is RE. Therefore,  $A$  is coRE. □

## Not coRE

### Theorem

*If  $A \leq_m B$  and  $A$  is not co-Turing-recognizable, then  $B$  is not co-Turing-recognizable.*

### Proof.

If  $B$  were coRE, then  $A$  would be coRE by the previous theorem. □

## Recapitulate our results

$A$  and  $B$  are languages and  $A \leq_m B$ .

### Good-news reductions

- If  $B$  is decidable, then  $A$  is decidable
- If  $B$  is RE, then  $A$  is RE
- If  $B$  is coRE, then  $A$  is coRE

### Bad-news reductions

- If  $A$  is not decidable, then  $B$  is not decidable
- If  $A$  is not RE, then  $B$  is not RE
- If  $A$  is not coRE, then  $B$  is not coRE

## Example

Show  $A_{\text{TM}} \leq_m \overline{E_{\text{TM}}}$

## Example

Show  $A_{\text{TM}} \leq_m \overline{E_{\text{TM}}}$

We need to give a TM that takes as input an instance of  $A_{\text{TM}}$  and outputs an instance of  $\overline{E_{\text{TM}}}$

## Example

Show  $A_{\text{TM}} \leq_m \overline{E_{\text{TM}}}$

We need to give a TM that takes as input an instance of  $A_{\text{TM}}$  and outputs an instance of  $\overline{E_{\text{TM}}}$

$T =$  "On input  $\langle M, w \rangle$ ,

- 1 Construct TM  $M_w =$  'On input  $x$ ,
  - 1 Ignore  $x$  and run  $M$  on  $w$ . If  $M$  accepts, then *accept*; if  $M$  rejects, then *reject*'
- 2 Output  $\langle M_w \rangle$ "

This is clearly computable (i.e.,  $T$  doesn't loop)

Now we just need to show that  $\langle M, w \rangle \in A_{\text{TM}}$  iff  $\langle M_w \rangle \in \overline{E_{\text{TM}}}$

## Example

Show  $A_{\text{TM}} \leq_m \overline{E_{\text{TM}}}$

We need to give a TM that takes as input an instance of  $A_{\text{TM}}$  and outputs an instance of  $\overline{E_{\text{TM}}}$

$T =$  "On input  $\langle M, w \rangle$ ,

- 1 Construct TM  $M_w =$  'On input  $x$ ,
  - 1 Ignore  $x$  and run  $M$  on  $w$ . If  $M$  accepts, then *accept*; if  $M$  rejects, then *reject*'
- 2 Output  $\langle M_w \rangle$ "

This is clearly computable (i.e.,  $T$  doesn't loop)

Now we just need to show that  $\langle M, w \rangle \in A_{\text{TM}}$  iff  $\langle M_w \rangle \in \overline{E_{\text{TM}}}$

If  $\langle M, w \rangle \in A_{\text{TM}}$ , then  $M$  accepts  $w$  so  $L(M_w) = \Sigma^*$  and thus  $\langle M_w \rangle \in \overline{E_{\text{TM}}}$

If  $\langle M, w \rangle \notin A_{\text{TM}}$ , then  $M$  doesn't accept  $w$  so  $L(M_w) = \emptyset$  and thus  $\langle M_w \rangle \notin \overline{E_{\text{TM}}}$

## One missing detail

What happens if the input to our  $T$  does not have the form  $\langle M, w \rangle$ ?



## One missing detail

What happens if the input to our  $T$  does not have the form  $\langle M, w \rangle$ ?

We said it outputs  $\varepsilon$  but that's actually a problem; why?

## One missing detail

What happens if the input to our  $T$  does not have the form  $\langle M, w \rangle$ ?

We said it outputs  $\varepsilon$  but that's actually a problem; why?

$$\varepsilon \in \overline{E_{\text{TM}}}$$

We need to modify  $T$ :

$T =$  "On input  $w$ ,

- 1 If  $w$  isn't of the form  $\langle M, w \rangle$ , then output  $\langle M' \rangle$  where  $L(M') = \emptyset$
- 2 Otherwise, construct  $M_w =$  'On input  $x$ ,
  - 1 Run  $M$  on  $w$ . If  $M$  accepts, then *accept*; if  $M$  rejects, then *reject*'
- 3 Output  $\langle M_w \rangle$ "

Now strings that don't have the appropriate form for  $A_{\text{TM}}$  are mapped to something that's not in  $\overline{E_{\text{TM}}}$

## Example

We showed that  $A_{\text{TM}} \leq E_{\text{TM}}$  when we proved that  $E_{\text{TM}}$  is undecidable; show that

$$A_{\text{TM}} \not\leq_m E_{\text{TM}}$$

How do we show this?

## Example

We showed that  $A_{\text{TM}} \leq E_{\text{TM}}$  when we proved that  $E_{\text{TM}}$  is undecidable; show that

$A_{\text{TM}} \not\leq_m E_{\text{TM}}$

How do we show this?

By contradiction. Assume that  $A_{\text{TM}} \leq_m E_{\text{TM}}$ . We previously showed that  $E_{\text{TM}}$  is coRE so therefore  $A_{\text{TM}}$  is coRE. But this is a contradiction because we also proved that  $A_{\text{TM}}$  is *not* coRE

## Languages that are neither RE nor coRE

So far, we've seen languages like  $A_{TM}$  that are RE but not coRE and languages like  $E_{TM}$  that are coRE but not RE

It's reasonable to ask if a language must be either RE or coRE. The answer is **no**

## Languages that are neither RE nor coRE

So far, we've seen languages like  $A_{\text{TM}}$  that are RE but not coRE and languages like  $E_{\text{TM}}$  that are coRE but not RE

It's reasonable to ask if a language must be either RE or coRE. The answer is **no**

The language  $EQ_{\text{TM}}$  is neither RE nor coRE

To prove this, we want to find two languages  $A$  and  $B$  such that  $A \leq_m EQ_{\text{TM}}$  and  $B \leq_m EQ_{\text{TM}}$  where  $A$  is not RE and  $B$  is not coRE

$EQ_{TM}$  is not RE

We already showed  $E_{TM} \leq_m EQ_{TM}$  and  $E_{TM}$  is not RE so  $EQ_{TM}$  is not RE

## $EQ_{TM}$ is not coRE

This one is a bit trickier. Let's mapping reduce  $A_{TM}$  to  $EQ_{TM}$

How do we do this?



## $EQ_{TM}$ is not coRE

This one is a bit trickier. Let's mapping reduce  $A_{TM}$  to  $EQ_{TM}$   
How do we do this?

$T =$  "On input  $\langle M, w \rangle$ ,

- ① Construct TM  $M_1 =$  'On input  $x$ ,
  - ① If  $x \neq w$ , then *reject*
  - ② Run  $M$  on  $w$ . If  $M$  accepts, then *accept*; if  $M$  rejects, then *reject*'
- ② Construct TM  $M_2 =$  'On input  $x$ ,
  - ① If  $x = w$ , then *accept*; otherwise *reject*'
- ③ Output  $\langle M_1, M_2 \rangle$ "

## $EQ_{TM}$ is not coRE

This one is a bit trickier. Let's mapping reduce  $A_{TM}$  to  $EQ_{TM}$

How do we do this?

$T =$  "On input  $\langle M, w \rangle$ ,

- ① Construct TM  $M_1 =$  'On input  $x$ ,
  - ① If  $x \neq w$ , then *reject*
  - ② Run  $M$  on  $w$ . If  $M$  accepts, then *accept*; if  $M$  rejects, then *reject*'
- ② Construct TM  $M_2 =$  'On input  $x$ ,
  - ① If  $x = w$ , then *accept*; otherwise *reject*'
- ③ Output  $\langle M_1, M_2 \rangle$ "

If  $\langle M, w \rangle \in A_{TM}$ , then  $M$  accepts  $w$  so  $L(M_1) = \{w\}$ . If  $\langle M, w \rangle \notin A_{TM}$ , then  $M$  does not accept  $w$  so  $L(M_1) = \emptyset$

Regardless of  $M$ , the language of  $M_2$  is  $L(M_2) = \{w\}$ .

Thus  $\langle M, w \rangle \in A_{TM}$  iff  $\langle M_1, M_2 \rangle \in EQ_{TM}$

## Question 4

Is there a RE language  $A$  such that  $EQ_{TM} \leq_m A$ ? Why or why not?

## Question 4

Is there a RE language  $A$  such that  $EQ_{TM} \leq_m A$ ? Why or why not?

No.  $EQ_{TM}$  is not RE, so any  $A$  such that  $EQ_{TM} \leq_m A$  is also not RE

## Question 5

Is there a coRE language  $B$  such that  $B \leq_m EQ_{TM}$ ? Why or why not?

## Question 5

Is there a coRE language  $B$  such that  $B \leq_m EQ_{TM}$ ? Why or why not?

Yes. We showed  $E_{TM} \leq_m EQ_{TM}$  and  $E_{TM}$  is coRE

## Question 6

If  $C$  is a language and  $EQ_{TM} \leq_m C$ , what can we conclude about  $C$ ?

## Question 6

If  $C$  is a language and  $EQ_{TM} \leq_m C$ , what can we conclude about  $C$ ?

$C$  is neither RE nor coRE



## Question 7

True or false: If  $D \leq E$ , then  $D \leq_m E$ .

## Question 7

True or false: If  $D \leq E$ , then  $D \leq_m E$ .

False.  $A_{\text{TM}} \leq E_{\text{TM}}$  but  $A_{\text{TM}} \not\leq_m E_{\text{TM}}$

## Question 8

Tricky! If  $F \leq_m \Sigma^*$ , what can we conclude about  $F$ ?

## Question 8

Tricky! If  $F \leq_m \Sigma^*$ , what can we conclude about  $F$ ?

$F = \Sigma^*$ . Let  $f$  be the mapping. Then  $w \in F \iff f(w) \in \Sigma^*$

For any language other than  $\Sigma^*$ , there's some string  $x$  not in the language but then  $f(x) \notin \Sigma^*$ ; but every string is in  $\Sigma^*$

## Question 9

Tricky! If  $\Sigma^* \leq_m G$ , what can we conclude about  $G$ ?

## Question 9

Tricky! If  $\Sigma^* \leq_m G$ , what can we conclude about  $G$ ?

We know  $G \neq \emptyset$ .

Since every string  $w \in \Sigma^*$  needs to be mapped to an element of  $G$ ,  $G$  cannot be empty

# Updated table

Before today's lecture

Language	RE	coRE
$A_{DFA}$	✓	✓
$E_{DFA}$	✓	✓
$EQ_{DFA}$	✓	✓
$A_{CFG}$	✓	✓
$E_{CFG}$	✓	✓
$EQ_{CFG}$	✗	✓
DIAG	?	?
$A_{TM}$	✓	✗
$HALT_{TM}$	?	?
$E_{TM}$	✗	✓
$EQ_{TM}$	?	?
$REGULAR_{TM}$	?	?

Now

Language	RE	coRE
$A_{DFA}$	✓	✓
$E_{DFA}$	✓	✓
$EQ_{DFA}$	✓	✓
$A_{CFG}$	✓	✓
$E_{CFG}$	✓	✓
$EQ_{CFG}$	✗	✓
DIAG	?	?
$A_{TM}$	✓	✗
$HALT_{TM}$	?	✗
$E_{TM}$	✗	✓
$EQ_{TM}$	✗	✗
$REGULAR_{TM}$	?	?

# $\text{HALT}_{\text{TM}}$ is RE

It's easy to show that  $\text{HALT}_{\text{TM}}$  is RE

- 1 Construct a TM that recognizes  $\text{HALT}_{\text{TM}}$   
 $H =$  "On input  $\langle M, w \rangle$ ,
  - 1 Run  $M$  on  $w$ . If  $M$  halts, then *accept*"



# $\text{HALT}_{\text{TM}}$ is RE

It's easy to show that  $\text{HALT}_{\text{TM}}$  is RE

- ① Construct a TM that recognizes  $\text{HALT}_{\text{TM}}$   
 $H =$  "On input  $\langle M, w \rangle$ ,
  - ① Run  $M$  on  $w$ . If  $M$  halts, then *accept*"
- ② Mapping reduce  $\text{HALT}_{\text{TM}}$  to  $A_{\text{TM}}$   
 $T =$  "On input  $\langle M, w \rangle$ ,
  - ① Construct TM  $M' =$  'On input  $x$ ,
    - ① Run  $M$  on  $x$ . If  $M$  halts, then *accept*'
  - ② Output  $\langle M', w \rangle$ "

## Turning a Turing reduction into a mapping reduction

If the Turing reduction  $A \leq B$  looks like:

Let  $R$  decide  $B$  and construct TM  $M$  to decide  $A$ :

$M =$  "On input  $w$ ,

- 1 Construct some instance  $w'$  of  $B$
- 2 Run  $R$  on  $w'$  and if  $R$  accepts, then *accept*; otherwise *reject*"

## Turning a Turing reduction into a mapping reduction

If the Turing reduction  $A \leq B$  looks like:

Let  $R$  decide  $B$  and construct TM  $M$  to decide  $A$ :

$M =$  "On input  $w$ ,

- 1 Construct some instance  $w'$  of  $B$
- 2 Run  $R$  on  $w'$  and if  $R$  accepts, then *accept*; otherwise *reject*"

then we can turn that into a mapping reduction

$T =$  "On input  $w$ ,

- 1 Construct some instance  $w'$  of  $B$
- 2 Output  $w'$ "

## Turning a Turing reduction into a mapping reduction

If the Turing reduction  $A \leq B$  looks like:

Let  $R$  decide  $B$  and construct TM  $M$  to decide  $A$ :

$M =$  "On input  $w$ ,

- 1 Construct some instance  $w'$  of  $B$
- 2 Run  $R$  on  $w'$  and if  $R$  **accepts**, then **accept**; otherwise **reject**"

then we can turn that into a mapping reduction

$T =$  "On input  $w$ ,

- 1 Construct some instance  $w'$  of  $B$
- 2 Output  $w'$ "

Note that  $R$  must be used exactly one time and  $M$  accepts iff  $R$  accepts

## REGULAR<sub>TM</sub> is not coRE

We can turn our reduction  $A_{\text{TM}} \leq \text{REGULAR}_{\text{TM}}$  into a mapping reduction  
 $A_{\text{TM}} \leq_m \text{REGULAR}_{\text{TM}}$

## REGULAR<sub>TM</sub> is not coRE

We can turn our reduction  $A_{\text{TM}} \leq \text{REGULAR}_{\text{TM}}$  into a mapping reduction  $A_{\text{TM}} \leq_m \text{REGULAR}_{\text{TM}}$

$T =$  “On input  $\langle M, w \rangle$ ,

- ① Construct TM  $M' =$  ‘On input  $x$ ,
  - ① If  $x = 0^n 1^n$  for some  $n$ , then *accept*
  - ② Otherwise, run  $M$  on  $w$  and if  $M$  accepts, then *accept*; if  $M$  rejects, then *reject*’
- ② Output  $\langle M' \rangle$ ”

## REGULAR<sub>TM</sub> is not coRE

We can turn our reduction  $A_{\text{TM}} \leq \text{REGULAR}_{\text{TM}}$  into a mapping reduction  $A_{\text{TM}} \leq_m \text{REGULAR}_{\text{TM}}$

$T =$  “On input  $\langle M, w \rangle$ ,

- ① Construct TM  $M' =$  ‘On input  $x$ ,
  - ① If  $x = 0^n 1^n$  for some  $n$ , then *accept*
  - ② Otherwise, run  $M$  on  $w$  and if  $M$  accepts, then *accept*; if  $M$  rejects, then *reject*’
- ② Output  $\langle M' \rangle$ ”

$\langle M, w \rangle \in A_{\text{TM}} \iff L(M') = \Sigma^* \iff L(M') \text{ is regular} \iff \langle M' \rangle \in \text{REGULAR}_{\text{TM}}$

$A_{\text{TM}}$  is not coRE, so  $\text{REGULAR}_{\text{TM}}$  is not coRE

## REGULAR<sub>TM</sub> is not RE

We could reduce from  $E_{\text{TM}}$ , but it's simpler to reduce from  $\overline{A_{\text{TM}}}$

$T =$  "On input  $s$ ,

- ① If  $s \neq \langle M, w \rangle$  for some TM  $M$  and input  $w$ , let  $M'$  be a TM such that  $L(M') = \emptyset$
- ② Otherwise, construct TM  $M' =$  'On input  $x$ ,
  - ① If  $x \neq 0^n 1^n$  for some  $n$ , then *reject*
  - ② Run  $M$  on  $w$  and if  $M$  accepts, then *accept*; if  $M$  rejects, then *reject*'
- ③ Output  $\langle M' \rangle$ "

Three cases



## REGULAR<sub>TM</sub> is not RE

We could reduce from  $E_{TM}$ , but it's simpler to reduce from  $\overline{A_{TM}}$

$T =$  "On input  $s$ ,

- 1 If  $s \neq \langle M, w \rangle$  for some TM  $M$  and input  $w$ , let  $M'$  be a TM such that  $L(M') = \emptyset$
- 2 Otherwise, construct TM  $M' =$  'On input  $x$ ,
  - 1 If  $x \neq 0^n 1^n$  for some  $n$ , then *reject*
  - 2 Run  $M$  on  $w$  and if  $M$  accepts, then *accept*; if  $M$  rejects, then *reject*'
- 3 Output  $\langle M' \rangle$ "

Three cases

- 1 If  $s \in \overline{A_{TM}}$  but  $s \neq \langle M, w \rangle$ , then  $L(M) = \emptyset$  and  $\langle M' \rangle \in \text{REGULAR}_{TM}$

## REGULAR<sub>TM</sub> is not RE

We could reduce from  $E_{TM}$ , but it's simpler to reduce from  $\overline{A_{TM}}$

$T =$  "On input  $s$ ,

- 1 If  $s \neq \langle M, w \rangle$  for some TM  $M$  and input  $w$ , let  $M'$  be a TM such that  $L(M') = \emptyset$
- 2 Otherwise, construct TM  $M' =$  'On input  $x$ ,
  - 1 If  $x \neq 0^n 1^n$  for some  $n$ , then *reject*
  - 2 Run  $M$  on  $w$  and if  $M$  accepts, then *accept*; if  $M$  rejects, then *reject*'
- 3 Output  $\langle M' \rangle$ "

Three cases

- 1 If  $s \in \overline{A_{TM}}$  but  $s \neq \langle M, w \rangle$ , then  $L(M) = \emptyset$  and  $\langle M' \rangle \in \text{REGULAR}_{TM}$
- 2 If  $s = \langle M, w \rangle \in \overline{A_{TM}}$ , then  $w \notin L(M)$  so  $L(M') = \emptyset$  and  $\langle M' \rangle \in \text{REGULAR}_{TM}$

## REGULAR<sub>TM</sub> is not RE

We could reduce from  $E_{TM}$ , but it's simpler to reduce from  $\overline{A_{TM}}$

$T =$  "On input  $s$ ,

- ① If  $s \neq \langle M, w \rangle$  for some TM  $M$  and input  $w$ , let  $M'$  be a TM such that  $L(M') = \emptyset$
- ② Otherwise, construct TM  $M' =$  'On input  $x$ ,
  - ① If  $x \neq 0^n 1^n$  for some  $n$ , then *reject*
  - ② Run  $M$  on  $w$  and if  $M$  accepts, then *accept*; if  $M$  rejects, then *reject*'
- ③ Output  $\langle M' \rangle$ "

Three cases

- ① If  $s \in \overline{A_{TM}}$  but  $s \neq \langle M, w \rangle$ , then  $L(M) = \emptyset$  and  $\langle M' \rangle \in \text{REGULAR}_{TM}$
- ② If  $s = \langle M, w \rangle \in \overline{A_{TM}}$ , then  $w \notin L(M)$  so  $L(M') = \emptyset$  and  $\langle M' \rangle \in \text{REGULAR}_{TM}$
- ③ If  $s \notin \overline{A_{TM}}$ , then  $s = \langle M, w \rangle$  and  $w \in L(M)$ . In this case,  $L(M') = \{0^n 1^n \mid n \geq 0\}$  so  $\langle M' \rangle \notin \text{REGULAR}_{TM}$

Since  $\overline{A_{TM}}$  is not RE, REGULAR<sub>TM</sub> is not RE

# Updated table

Before today's lecture

Language	RE	coRE
$A_{DFA}$	✓	✓
$E_{DFA}$	✓	✓
$EQ_{DFA}$	✓	✓
$A_{CFG}$	✓	✓
$E_{CFG}$	✓	✓
$EQ_{CFG}$	✗	✓
DIAG	?	?
$A_{TM}$	✓	✗
$HALT_{TM}$	?	?
$E_{TM}$	✗	✓
$EQ_{TM}$	?	?
$REGULAR_{TM}$	?	?

Now

Language	RE	coRE
$A_{DFA}$	✓	✓
$E_{DFA}$	✓	✓
$EQ_{DFA}$	✓	✓
$A_{CFG}$	✓	✓
$E_{CFG}$	✓	✓
$EQ_{CFG}$	✗	✓
DIAG	?	?
$A_{TM}$	✓	✗
$HALT_{TM}$	✓	✗
$E_{TM}$	✗	✓
$EQ_{TM}$	✗	✗
$REGULAR_{TM}$	✗	✗